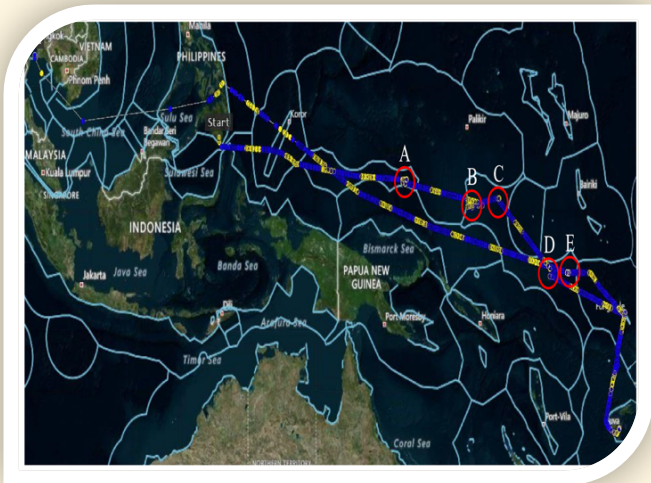


# Lesson Learned on PSM implementation in Thailand (Con't)



Mr. Thira Rodchevid,  
Port State Measures Implementation Group,  
The Department of Fisheries, Thailand

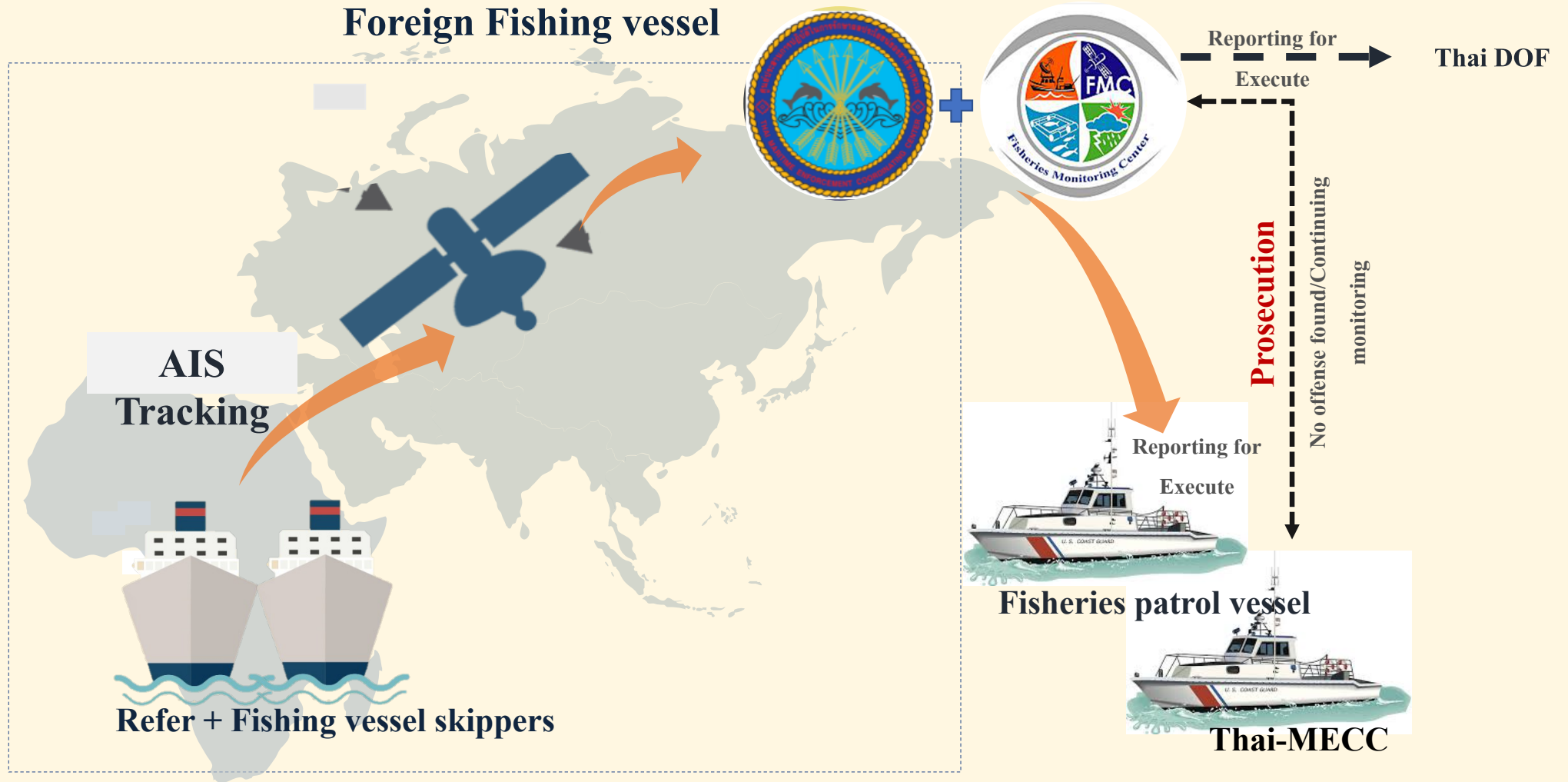
# OUTLINE (Con't)

- Surveillance and at-sea control
- Data compilation and exchange
- Inter-agency coordination



# **SURVEILLANCE AND AT SEA CONTROL**

# At sea control



## At sea control (Cont.)

### Marine Department

**Control foreign flag vessel in That territorial sea (12 nm ) under Port State Control (PSC) by using Vessel Traffic System (VTS)**



## Department of Fisheries

**AIS Tracking data is applied for the port entry and offloading authorization.**



# AIS Tracking Report declared Risk for supporting onboard inspection and offloading fish



Thailand Department of Fisheries  
AREP Analysis  
01 June 17 – 24 Aug 2017

## Thailand Department of Fisheries - AREP Analysis

Area of Interest (AOI):	AREP Support
Analysis Period:	01 June 2017 - 24 Aug 2017
Vessel Type	Fish Carrier vessel (Reefer)
Positional Sources:	AIS
Submitted To:	Royal Thai Government Department of Fisheries
Date Submitted:	25 Aug 2017
Report Number:	17-0221
Report Compiled By:	Charlie Kilgour

### Vessel Details

Name	Lake Success	IMO	8702630	Flag	Korea
IRCS	D7MQ	MMSI	440196000	Type	Fish Carrier
Confirmed License Information	1. WCPFC authorized carrier until 03 Aug 2020				
Additional Information	AREP # No number survived 3rd Floor, Dongwon Building, 15, Saemunan-ro 3-gil, Jongno-gu, Seoul, South Korea. Telephone +82 2 734 8455 Fax +82 2 734 8456 Email <a href="mailto:mail@jisungshipping.com">mail@jisungshipping.com</a> Source – IHS Fairplay				
Last known position	LNG: 112.94887 LAT: 7.4645667 SEEN: 2017/Aug/25 01:15z				

### Available Photos



Source – WCPFC



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Page 1



Thailand Department of Fisheries  
AREP Analysis  
01 June 17 – 24 Aug 2017

### Vessel Risk Indicators

Identity Risk:	<b>Low</b> Vessel identity listed on IHS Fairplay and WCPFC
PSMA Compliance Risk:	<b>Medium</b> The vessel slowed multiple times to a speed which would have allowed an at sea transhipment on the high seas with no corresponding transhipment declaration
Fishing License and Authorisation Risk:	<b>Low</b> All fishing vessels are WCPFC authorized



AIS tracks of Lake Success between 01 June 2017 – 24 Aug 2017

### Key Risks

- A – possible at-sea transhipment 14 – 23 June 2017 not included in AREP report
- B - possible at-sea transhipment 26 – 28 June 2017 not included in AREP report
- C - possible at-sea transhipment 30 June 2017 – 02 July 2017 not included in AREP report

### Port Investigations

#### Dates to investigate:

- Ask vessel captain regarding slow speeds between 14 – 23 June 2017
- Ask vessel captain regarding slow speeds between 26 – 28 June 2017
- Ask vessel captain regarding slow speeds between 30 June 2017 – 02 July 2017

In all cases ask for evidence wither in logbook, emails or communication with island states to back up the claim for the slow speeds on the high seas.



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# Delay offloading case on the SEA MARK , Carrier Vessel

Received the AIS analyze report

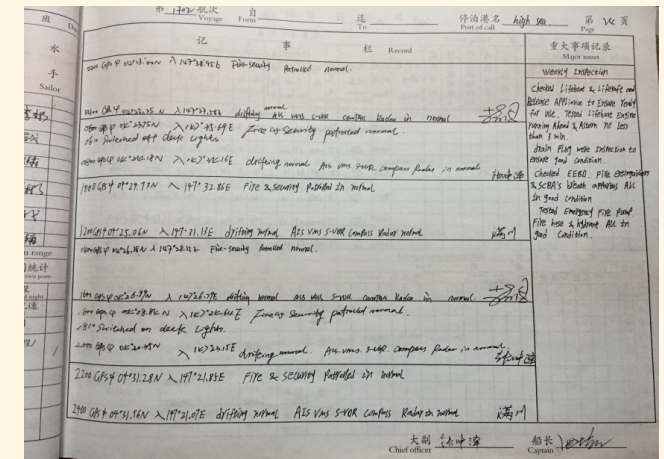
1. possible at-sea transshipment 11-28 Apr 2017 not included in the AREP report 4 points
2. The AREP document does not indicate all countries EEZ's/Convention areas the catch was made Catch vessel Elspeth likely fished inside a closed fishing area of Phoenix Islands MPA

Onboard inspection with the expert from Oceanmind

- Master interview
- Logbook inspection
- Request additional information

Communicated to (Kiribati) Coastal State and Flag State (Korea)

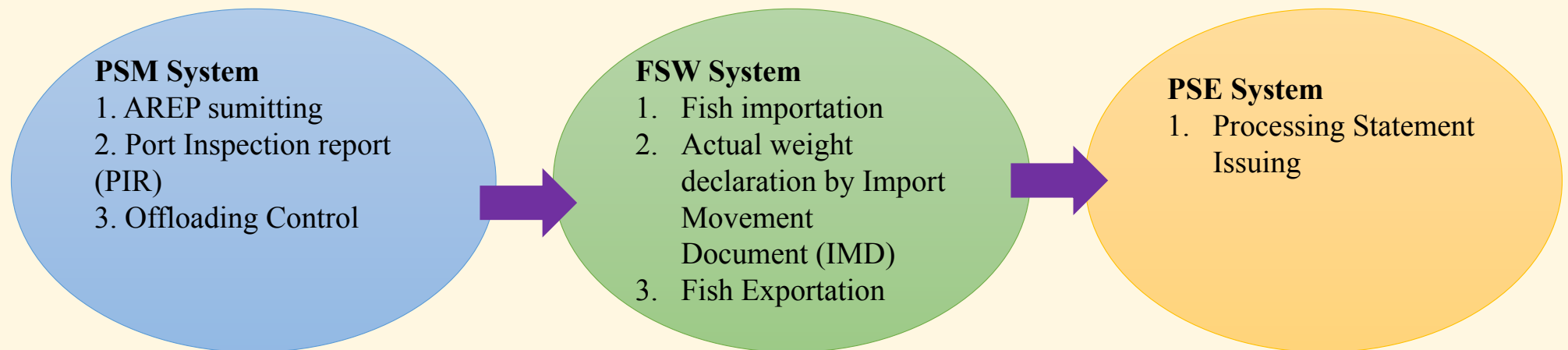
Kiribati and Korea confirmed that Kiribati the fishing vessel did not operation in MPA area with the notice for change MPA area in Phoenix Island and VMS tracking of this vessel



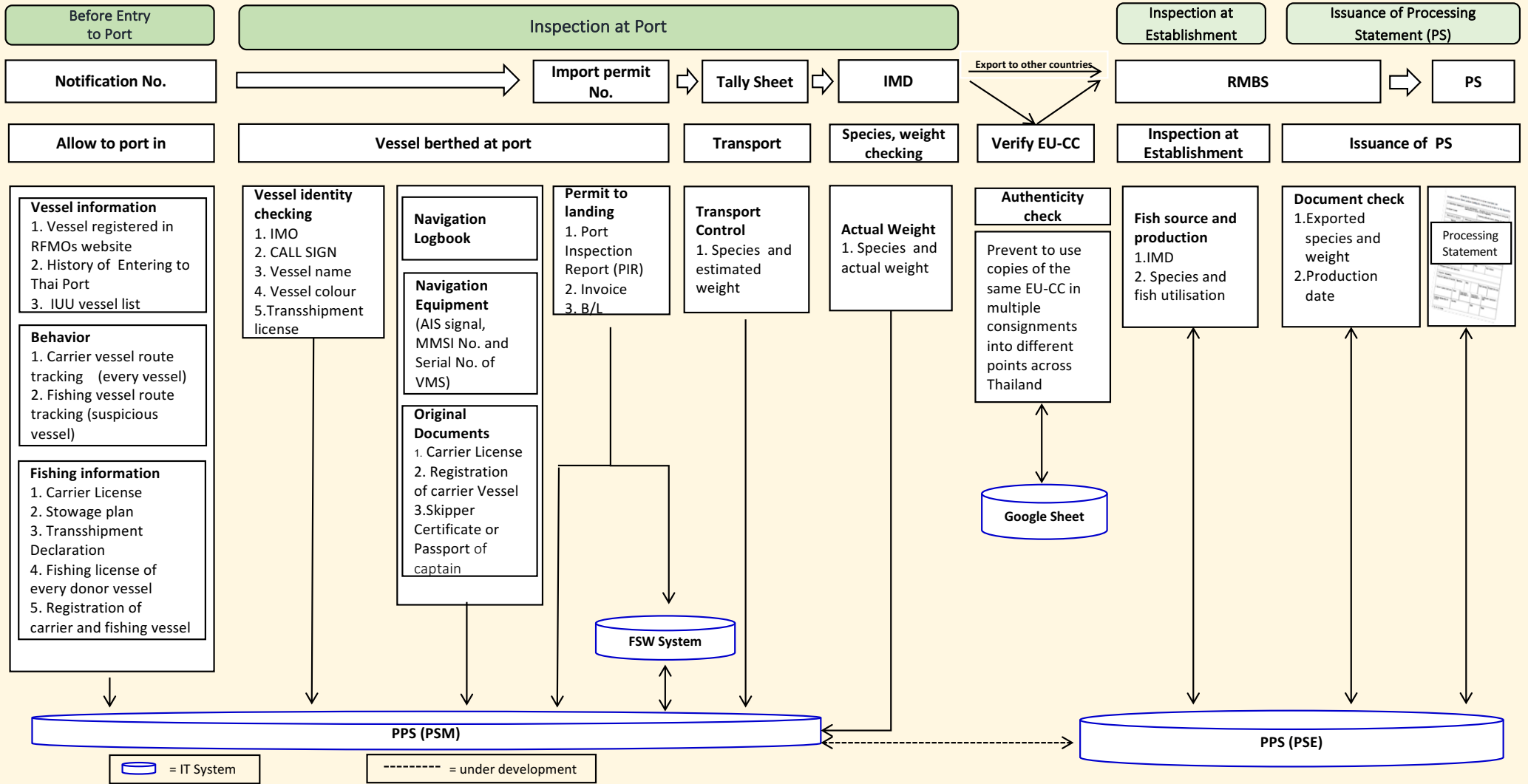


# DATA COMPILATION AND EXCHANGE

**The data compilation of the Thai Traceability for imported fish scheme is collected by PSM and Processing Statement Endorsement linked System (PPS System)**



# Traceability on Imported Fish



## The Information exchange on Before Entry to Port step for foreign flag vessel

Inter-agencies cooperation to control foreign flag vessel :  
**Department of Fisheries,  
 Marine Department and  
 Custom Department**

**Ship agent** submits AREP via PPS system (prior 72 hrs.)

**DOF** checks AREP, relevant documents and AIS Tracking analysis (OceanMind and Thai FMC) regarding to IUU Fishing issue

**DOF** authorizes to port entry and sends Notification No. to **Marine Department, Custom Department, and Ship agent** via NSW (prior 24 hrs.)

**Royal Ordinance  
 on Fisheries**

**Article 95**



**Deny to Port Entry**

No

Yes



NSW

**Marine Department** authorized to port entry regarding to safety issue

**Custom Department** authorized to port entry regarding to importation issue

Yes

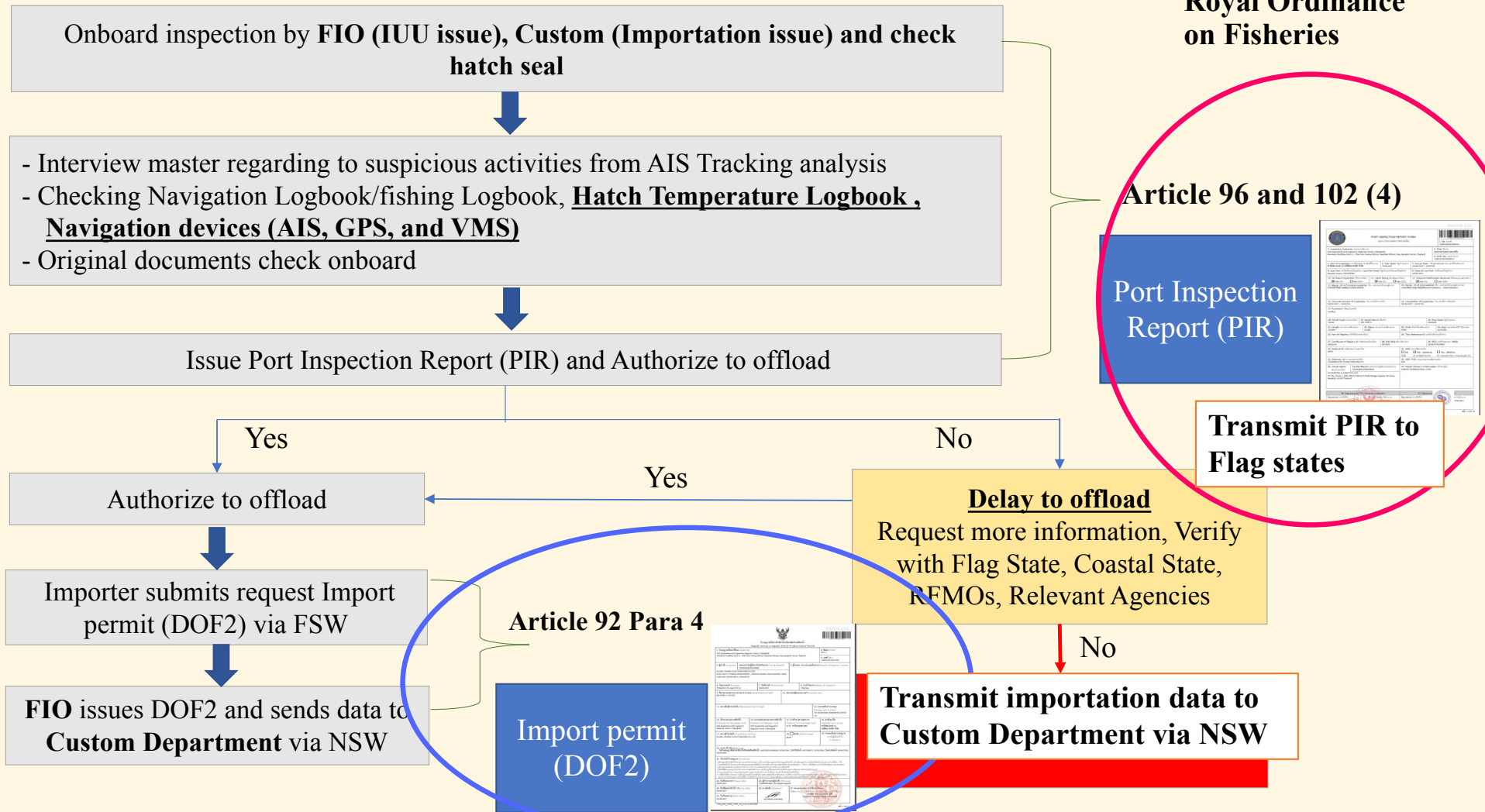
Yes

- Inform Ship Agent via NSW
- Ship agent informs date and time to DOF and Custom for inspection onboard

**Port Entry  
 Authorization  
 (Notification No.)**



## The Information exchange on Inspection at Port step for foreign flag vessel



# INTER-AGENCY COORDINATION

# Inter-agency coordination

## Cooperation with Thai Inter-agency

### ➤ **Thailand - Maritime Enforcement Coordinating Center (Thai - MECC, Thai Navy)**

- Analyze AIS Tracking for foreign flag vessel
- Cooperation when found suspicious vessel in Thai waters
- Patrol Vessel

### ➤ **Marine Department and Custom Department**

- Vessel and Fish inspection
- Exchange the notification to Fishing Vessel Following a Request to Enter Port via National Single Window (NSW) electronic system

### ➤ **Thai Royal Police**

- Cooperation for the prosecution cases
- Cooperation with Interpol National Central Bureau Bangkok (NCB Bangkok)

## Collaboration on the Djiboutian flagged vessel (CHOTCHAINAVEE 35) investigation with NCB Bangkok and Interpol Head Quarter Thai Port on Feb 2018





# Cooperation with Neighboring Countries PSM Network



*Woloo*

Certification of fish and fishery product obtaining		
Document number 01315102017	Issuing authority Immigration Police, KCH HONG, CAMBODIA	
Name Mr. Rompee Karmal	Address 7023 MALI TB, Khlonggol AP, KHONGGOL TRAT 2310	Sex Male
Vessel name KH 6.0266	Vessel type Fishing vessel	Registration number KH 6.0266
Date & processing authorized on board 01 Oct 2017		
Reference of applicable conservation management measure None APPODA 0		
Name of vessel master and nationality KH 6.0266	MOK CHHRENG CAMBODIA	
Name and address of exporter Miss. Ly Yovanlak KCH HONG, CAMBODIA	Date and signature 15 OCT 2017	Stamp 
Flag State authority validation Fishery Support Immigration Police, KCH HONG, CAMBODIA	Date and signature 15 OCT 2017	Stamp 
Transport details		
Country of exportation CAMBODIA	Vessel name & flag KH 6.0266 CAMBODIA	Truck nationality and reg. no. KH 6.0266
High sea / other	Bill no.	Relief bill no.
Other transport		

Appendix I

no	Product description	Estimated weight (kg)	Product from	Fishing vessel (FV)		Catch area	Name	Sign
				Fishing vessel name	FV registration no.			
1	English skip	2000 kg	Other	PPFLONE	PPFLONE	CAMBODIA	MOK CHHRENG	
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								
16								
17								
Flag State authority validation				Date and signature 15 OCT 2017		Stamp 		

PRK.M.S. 03/3Kld 13 (1)  
15 August 2017

**DIRECTOR GENERAL**  
Department of Fisheries Malaysia  
Ministry of Agriculture and Agro-Based Industry Malaysia  
Level 1-6, Wisma Tan, 402,  
No. 30, Persiaran Perdana, Presint 4,  
Federal Government Administrative Center,  
62508 PUTRAJAYA,  
MALAYSIA.

Tel: 603-8979 4006  
Fax: 603-8989 5490  
Official Portal: [www.dof.gov.my](http://www.dof.gov.my)  
Email: [lemail@dof.gov.my](mailto:lemail@dof.gov.my)

**Dr. Adisorn Promthep**  
Director-General of Fisheries  
Department of Fisheries Thailand  
Ministry of Agriculture and Cooperatives  
Kasetkiang Chatuchak  
Bangkok, 10900  
THAILAND  
Tel : +66 2 562-0600-15  
Fax : +66 2 940 6203 , +66 2 562 0493, +66 2 562 0529

Dear *Dr. Adisorn Promthep*,

**REQUEST TO DENY THE USE OF PORTS IN THAILAND BY MALAYSIAN REGISTERED FISHING VESSEL**

The Department of Fisheries Malaysia (DOFM) presents its compliments to the Department of Fisheries Thailand (DOFT) and has the honour to refer to the aforementioned matter.

2. In furtherance to similar request we made in 2015 and in following up to 2nd Sub-Regional Technical Meeting on Effective Fisheries Management between Malaysia and Thailand that was held on 25-26 July 2017 in Bangkok, Thailand, we would like to renew our request for assistance from the DOFT under the broad cooperation to combat illegal, Unreported and Unregulated (IUU) Fishing.

3. As all Malaysian fishing vessels are not allowed to leave Malaysian Fisheries Waters without the approval from the Director General of Fisheries Malaysia, we would like to seek your kind assistance to deny the use of ports in Thailand by Malaysian fishing vessels for any reasons whatsoever except in cases of force majeure as referred to by United Nations Convention on the Law of the Sea. The use of foreign ports for landing of catch, ship repair and supply of provisions by Malaysian fishing vessel is deemed unlawful under domestic laws.

PERIKAMAN PRODUKTIF MENJANA TRANSFORMASI

## Myanmar

- Verify the exportation documents issues from Myanmar
- Increasing the cooperation for inspection at port and EU Simplified Catch Certification issuance

## Cambodia

- Increasing the cooperation for Exportation Document (Certificate Fish and Fishery Product Obtaining)

## Malaysia

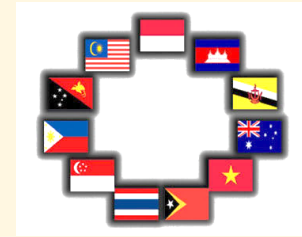
- Cooperate on Malaysian regulation for Fishing vessel
- Information Sharing on the vessel from Malaysia request to port in Thai ports

# Cooperation with Regional PSM Network



## **SEAFDEC**

- Training on ACDS
- Cooperation and information sharing on the fishing vessel in RFVR



## **RPOA**

- Information Sharing on the fishing vessel related to IUU fishing

## Information Sharing on The RENOWN REEFER

- The carrier vessel RENOWN REEFER (Bolivian Flagged) submitted an advanced request for port entry (AREP) on the 15<sup>th</sup> of December 2017 for the purposes of conducting maintenance.
- The initial request for port entry was found to contain incomplete information and so was immediately declined (on the same day that the AREP was received) as required by the Royal Ordinance.
- Thai Authorities sent email to clarify with RIBB Bolivia which confirmed this vessel is provisionally registered under Bolivian Flagged until 28 Feb 2018.
- Thai Authorities checked documents and information found that this vessel was the same IMO and information detail in ship's particular was similar to The Wisdom Sea Reefer (Honduran Flagged) which is alleged to have been involved with carrying IUU fishing catch from four Djiboutian flagged fishing vessels ( IOTC CIRCULAR/CIRCULAIRE CTOI 2017-071).
- Sharing Information of our investigation to IOTC and RPOA



# Cooperation with International PSM Network

## Flag States and Coastal States

- Cooperation on the Fishing vessel verification
- Transmit Port Inspection Report to Flag State

## Tuna partner countries

- Cooperation with tuna partner countries such as China, Indonesia, Korea, Maldives, Philippines, Taiwan, USA, Vietnam, and PNA countries (Papua New Guinea and Solomon Islands) using actual weight from Thailand to fill in EU CC

## FFA

- Cooperation on the Fishing vessel verification which operated in Pacific Ocean
- MOU for information sharing (planning)

## **Cooperation with International PSM Network (Con't)**

### **PNA Countries**

- Cooperation on the Fishing vessel verification which operated in Pacific Ocean (PNA EEZ)

### **IOTC**

- Implement IOTC e-PSM since Jan 2017
- Cooperation on the Fishing vessel verification which operated in Indian Ocean
- Sharing information on the vessel that related to IUU Fishing activities

### **Interpol**

- Sharing Information on the purple notice
- Collaboration on the vessel inspection

## Collaboration on the Somali flagged vessel investigation with NCB Bangkok NCB Male and Interpol Head Quarter in Maldives on Jan 2018



**The investigation on Fishing Vessels  
Claimed To Be “Bolivian Flag”**

# Background

## Background



9 vessels claimed to be Bolivian flag, requested for dry docking and maintenance at Phuket Srithai port and Fish Marketing Organization port on 21 September – 31 October 2016



YUTUNA No. 1



SHEN JI QUN 3

2 vessels claimed to be Bolivian flag, requested Marine Department to depart port on 23 October and 15 November 2016. Thai authority received the confirmation from RIBB of Bolivia which was fake documents after the both vessels departed from Thailand.



ABANDANT 1



ABANDANT 3



ABANDANT 6



ABANDANT 9



ABANDANT 12



SHUNLAI



YUTUNA No. 3

The Marine Department seized 7 vessels due to the evidences on 12 December 2016



## Investigation Process

Phuket Fisheries Inspection Office  
(FIO) found some irregularities  
from submitted documents



FIO confirmed with Bolivia and found  
that the documents were fake



Coordinate with related departments to investigate and prosecute false testimony



Seized the vessels and other evidences for further investigation



Summarize the result and complaints  
based on article 94 of DoF announcement on a list of non-Thai fishing vessels that  
have undertaken IUU fishing in the Kingdom, the 4 vessels are matched.

# Investigation summary of evidences

Group	Content	Current name	Flag	Previous name	Action
1	Inform Marine Department for leaving Thailand	YUTUNA No.1	Bolivia	-	Royal Thai Police informed Interpol to add these FVs in Purple notice
		SHENG JI QUN 3	Bolivia	-	
2	<u>Previous name is in the IOTC IUU vessel list and the DoF Notification</u>	ABUNDANT 1	Bolivia	YI HONG 6	Complained in compliance with article 94 of Royal Ordinance on Fisheries.
		ABUNDANT 3	Bolivia	YI HONG 16	
		ABUNDANT 9	Bolivia	YI HONG 116	
		ABUNDANT 12	Bolivia	YI HONG 106	
3	<u>Previous name is not in the IOTC IUU vessel list and the DoF Notification</u>	YUTUNA No.3	Bolivia	HUNG SHENG No.166	In process of inquiry officer
		ABUNDANT 6	Bolivia	YI HONG 86	
		SHUNLAI	Bolivia	HSIN JYI WANG NO. 6	

\*The DoF Notification on a list of non-Thai fishing vessels that have undertaken IUU fishing based on the lists of IUU fishing vessels B.E. 2015

# Group 1

The Royal Thai Police Headquarters coordinated with INTERPOL



YUTUNA No. 1



SHEN JI QUN 3

Informed 2 vessels that departed in

**PURPLE NOTICE**

## Group 2

**The 4 Fishing vessels (FVs) which their previous names were in IOTC IUU vessel list and the DoF announcement on a list of non-Thai fishing vessels that have undertaken IUU fishing in the Kingdom of Thailand**

<b>No.</b>	<b>Current name</b>	<b>Previous name</b>
<b>1</b>	<b>ABUNDANT 1</b>	<b>YI HONG 6</b>
<b>2</b>	<b>ABUNDANT 3</b>	<b>YI HONG 16</b>
<b>3</b>	<b>ABUNDANT 9</b>	<b>YI HONG 116</b>
<b>4</b>	<b>ABUNDANT 12</b>	<b>YI HONG 106</b>

Evidences for investigation of these FVs  
which are in IOTC IUU vessel list and in the DoF announcement

	Evidences to show that ABUNDANT 1 was YI HONG 06	Evidences to show that ABUNDANT 3 was YI HONG 16	Evidences to show that ABUNDANT 9 was YI HONG 116	Evidences to show that ABUNDANT 12 was YI HONG 106
Evidences	<ul style="list-style-type: none"> <li>- Supplies receipt</li> <li>- Comparing of vessels registration document between ABUNDANT 1 and YI HONG 6</li> <li>- Diesel Fuel receipt of YI HONG 06</li> <li>- Copy of Indonesian crews passport</li> <li>- Remove paint around the end of vessel hull</li> <li>- Indonesian crews testimony</li> </ul>	<ul style="list-style-type: none"> <li>- Supplies receipt</li> <li>- Comparing of vessels registration document between ABUNDANT 3 and YI HONG 16</li> <li>- Diesel Fuel receipt of YI HONG 16</li> <li>- Remove paint around the end of vessel hull</li> <li>- Indonesian crews testimony</li> </ul>	<ul style="list-style-type: none"> <li>- Tidal table of Koh Tapao Noi (Phuket)</li> <li>- Logbook of ABUNDANT 9</li> <li>- Myanmar high sea fishing permit for YI HONG 116</li> <li>- Remove paint around end of vessel hull</li> <li>- Indonesian crews testimony</li> </ul>	<ul style="list-style-type: none"> <li>- Philippine crews testimony</li> </ul>

## Group 3

The 3 FVs with previous name were not in the IOTC IUU vessel list and the DoF Notification on a list of non-Thai fishing vessels that have undertaken IUU fishing in Kingdom

No.	Current name	Previous name
1	YUTUNA No.3	HUNG SHENG No.166
2	ABUNDANT 6	YI HONG 86
3	SHUNLAI	HSIN JYI WANG NO. 6

**Group 3 have been during prosecution process and DoF has supported other information**

## The Success on “Vessels that claimed to be Bolivian flag ” cases

- ✓ Royal Thai Police coordinated to Interpol for listing 2 vessels (YUTUNA No. 1 and SHENG JI QUN 3) that depart from Thailand in Purple Notice on 13<sup>th</sup> Jan 2017
- ✓ The 9 vessels that claimed to be Bolivian flag were recorded in the IOTC IUU vessel list in May 2017 by using evidences from Thai DOF
- ✓ Thai DOF closely contacted with The Taipei Economic and Cultural Office in Thailand for exchange data on the 9 vessels (meeting 2 times)
- ✓ Testimony of Indonesian and Philippine crew members found that the owner of YIHONG FISHERIES Co, Ltd. related to human trafficking
- ✓ Cooperation with the Embassy of Indonesia for helping Indonesian crew members



# Capacity Building of PSM Inspector

- Gap Analysis and Training on PSM and Tractability system supported by PDSF program
- Training on AIS Tracking system analysis and vessel inspection by for PSM Team by OceanMind
- FAO workshop on Implementation PSM
- IOTC Training on e-PSM and PSM Inspector





# Challenges

- Regional PSM Contact point for combatting IUU Fishing
- Cooperation on information sharing within short period for increasing the capacity of PSM Inspection
- Capacity Building on PSM Inspection Scheme
- AIS Tracking system software and experience for analysis the route of vessel



# Thank you for your attention

